

## REGULATORY DISCLOSURE

FW Fintech Private Limited (tradename: Novelty Wealth) is a SEBI Registered Investment Advisor (INA000019415). SEBI requires RIAs to disclose complaint status on their webpage. Pursuant to the same, please refer to the data as furnished below.

Data for the month ending October 2025

Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	NA
3	Other Sources (if any)	0	0	0	0	0	NA
	<b>Grand Total</b>	0	0	0	0	0	NA

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved *	Total Pending #
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received	Resolved *	Total Pending #
1	2024-25	0	0	0	0
2	2025-26	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

### FW FINTECH PRIVATE LIMITED

P NO 224 3RD FL RANKA, JUNCTION 80/3 VIJINAPUR, Krishnarajapuram R S, Bangalore North, Bangalore- 560016  
CIN: U66190KA2024PTC188214 | PAN: AAFCF9197D | TAN: BLRF08758B | GSTIN: 29AAFCF9197D1Z6  
Brand Name: Novelty Wealth | SEBI RIA: INA000019415 | BASL Membership No: BASL2191